





# National Regulations Update 2

published on February 08, 2017



# ANNEX 2: National or regional regulations and eligibility criteria

# Argentina - MINCyT

Ministerio de Ciencia,
Tecnología e Innovación Productiva
Presidencia de la Nación

Participating organisation: Ministry of Science, Technology and Productive Innovation (MINCYT)

Indicative Budget: 0.5 M€

National Contact Person(s):

Name: Monica Silenzi / Agustina Velo Tel.: 005411 4899-5000 (int. 4150)

E-Mail: msilenzi@mincyt.gob.ar / avelo@mincyt.gob.ar

#### Who can apply?

- Universities
- R&D national institutions
- Small and Medium Enterprises

#### What types of costs are eligible for funding?

## **Direct Costs**

- Mobility (travel + accommodation)
- Short-term exchange visits
- Meeting, workshops and events organization
- Publication, dissemination
- Consumables
- Small equipment (up to 15.000 euros)

#### **Indirect Costs**

- Only administration

Note: personnel cost and subcontract cost will not be covered Upper funding limits for eligible costs?

Up to 100.000 euros per project to cover argentine participation (if there is more than one Argentinian institution participating on the proposal, the total amount of 100.000 euros should be divided)

Additional national eligibility criteria



## Belgium - SPW

Participating organisation: SPW-DGO6

Indicative Budget: 1.0 M€

National Contact Person(s):

Name: Nicolas Delsaux

Tel.: +32 81 334 520; Mobile: + 32 473 556 174 E-Mail: <u>nicolas.delsaux@spw.wallonie.be</u>

# Wallonio



#### Name of & link to the funding programme(s) and other relevant information:

https://recherche-technologie.wallonie.be/fr/menu/acteurs-institutionnels/service-public-de-wallonie-services-encharge-de-la-recherche-et-des-technologies/departement-des-programmes-de-recherche/direction-des-programmes-federaux-et-internationaux/era-nets/les-era-nets.html

Min.project duration: 12 months
Max. project duration: 36 months

Maximum funding per project: none

## Institutional eligibility criteria:

SMEs, large industries, research centres and Universities are eligible.

- 40% of the Walloon budget must be allocated to an enterprise;
- The project cannot receive double funding;
- The budget for the Walloon partners should follow the SPW-DGO6 cost model;
- The funding rate will be the maximum allowed by the decree of the 3rd of July 2008;
- The beneficiary must have a stable financial situation;
- The beneficiary must have Operational offices in the Walloon Region;
- The project must add benefit to the regional economy;
- All information needed for evaluation should be available;
- A Walloon complementary funding request's form must be submitted to the SPW-DGO6.

Institutional thematic priorities: none

Proposals with the following focus cannot be funded: none

#### Additional information:

Funding will be made by the use of loans (Experimental development, in priority) or grants (Industrial research). A Walloon complementary funding request's form must be submitted online to the SPW-DGO6.



#### Estonia – ETAG



Participating organisation: Eesti Teadusagentuur / Estonian Research Council

**Indicative Budget:** 0.1 M€

National Contact Person(s): Margit Suuroja

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#### National Eligibility Criteria of research project proposal in international calls

Estonian Research Council (hereafter The Council) funds basic and applied research. Applied research is funded only as far as it is not refer to product development with commercial value and for marketing purposes.

A grant or research support is the allocation of funds by the Council for a specified purpose to carry out research work and the grant shall be awarded within an open competition.

#### 1. Participants in the grant project

1.1. Principal Investigator is the applicant of the grant, to whom the grant has been allocated within an open competition and who shall be responsible for the use of the grant for specified purpose and for the productive realisation of the grant project. The Council shall enter into a grant agreement with the Principal Investigator.

#### 1.2. The Principal Investigator

- 1.2.1. Must possess either the Estonian nationality or citizenship or be a permanent resident of Estonia.
- 1.2.2. Has an updated profile in the Estonian Research Information System (ETIS).
- 1.2.3. Has as a rule entered into an employment relationship with the legal person (hereinafter Institution), which is the basis of the realisation of the grant project and through which the grant shall be allocated to the Principal Investigator.
- 1.2.4. Must be a holder of the doctoral degree of Estonia or an equivalent academic degree (both awarded by the deadline of submission of the grant application, at the latest).
- 1.2.5. Must have published within the last five years prior to the proposal's submission deadline at least three publications, which comply with the requirements of clauses 1.1 of the classification of publications of the ETIS, or at least five publications, which comply with the requirements of clauses 1.1, 1.2, 2.1 and 3.1 of the classification of publications of the ETIS; international patents are equalised with publications of clause 1.1.; the monographs are equalised to each author with three publications mentioned in clause 1.1 if the number of its authors is three or less. If the applicant has been on the parental leave or in the compulsory military service within these last five years, the deadline of the publication requirement shall be extended by the time stayed on the parental leave or compulsory military service
- 1.3. The main participant of the project is a person who participates in the substantial performance of the project. The main participant of the project shall either possess at least the master's degree or the respective qualification and must have published at least one publication within the last three years prior to the proposal's submission deadline, which comply with the requirements of clauses 1.1, 1.2, 2.1 or 3.1 of the classification of publications of the ETIS; or be a doctoral candidate.

- 2.1. A budget of proposal shall consist of the research expenses and the overhead costs of the institution, through which the grant project is to be carried out.
- 2.2. The research expenses consist of personnel costs (incl. scholarships), travel costs, other direct costs and subcontracting costs. The expenses on research are clearly required to carry out the project and respectively identifiable.
- 2.3. Remuneration may be only paid out of the grant to the Principal Investigator, main participants in the project and auxiliary staff according to the time they participate in the grant project and their total salary cost for Institution. Double funding of activities already have contributions is not acceptable.
- 2.4. Scholarship equal to the state grant may be paid out of the grant to doctoral candidates not paid any salary by Institution. The scholarship for a doctoral candidate may not exceed 422 euros a month. The

<sup>&</sup>lt;sup>1</sup> comprising basic monthly salary plus social security charges and other statutory costs



scholarship of the doctoral candidate along with the State education allowance may not exceed 622 euros a month. Should a doctoral candidate participate in several projects financed by the Council, the total amount of the scholarship received from different projects may not exceed the aforementioned amount. It means that maximum of the scholarships per doctoral candidate is annually 5064 euros.

- 2.5. **Travel costs** cover expenses for transport, accommodation and daily allowances (except in case of internal travel).
- 2.6. Subcontracting costs cover generally only additional or complementary tasks (e.g. costs for translation, analyses, etc.) to the third parties. Core project research tasks should not be subcontracted. Subcontracting costs may not exceed 10% of the total costs.
- 2.7. Other direct costs are:
  - 2.7.1. Consumables related to the project
  - 2.7.2. Costs for publishing and dissemination of project results (fair distribution of costs between partner should be followed);
  - 2.7.3. Costs for organising the meetings/seminars/conferences (only in Estonia)
  - 2.7.4. Fees for participating in scientific forums and conferences;
  - 2.7.5. All other costs which are clearly required for the implementation of the project and respectively identifiable.
- 2.8. Overhead costs of the institution must not exceed a maximum of 20% of eligible direct costs and should be cover general expenses of the institution.
- 2.9. Costs for equipment and services intended for public use (copying machine or printer publicly used, phone bills, copying service, etc. shall be covered from the overhead fee.
- 2.10. Participants' personal expenses or expenses not directly related to the project are not eligible.

#### 3. Human research or animal tests

3.1. If a human research or animal tests are intended in the research project, the positive resolution of the Ethics Review Committee or Animal Testing Project Permits Committee with respect to the performance of the project addressed to the Council shall be submitted before the funding decision is made.



APPEL À PROJETS ERA-NET COFUND ERA

COBIOTECH



**EDITION 2016** 

# MODALITÉS DE PARTICIPATION POUR LES PARTICIPANTS FRANÇAIS

### France - ANR

#### IMPORTANT:

- Le présent document énonce les modalités de participation des partenaires français à l'appel à projets ERA CoBioTech.
- 2. Les modalités de participation et recommandations importantes présentées dans ce document s'ajoutent aux dispositions figurant dans le texte de l'appel :

https://www.cobiotech.eu/

3. Il est nécessaire de lire attentivement le texte de l'appel à projets, l'ensemble du présent document ainsi que le règlement relatif aux modalités d'attribution des aides de l'ANR (<a href="http://www.agence-nationale-recheche.fr/RF">http://www.agence-nationale-recheche.fr/RF</a>) avant de déposer une proposition de projet de recherche.

# Date de clôture

Etape 1: 02/03/2017, 13 h oo (CET) Etape 2: 20/07/2017, 13 h oo (CEST)

# Points de contact à l'ANR

Chargée de mission scientifique ANR
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#### 1. CONTEXTE ET OBJECTIFS DE LA COLLABORATION

En lien avec les différents défis sociétaux, l'ANR a développé des partenariats multilatéraux avec ses homologues européens dans le cadre des actions européennes de type ERA-NET, ERA-NET COFUND ou initiatives de programmation conjointe (JPI). Ces actions sont complémentaires aux projets collaboratifs classiques des programmes cadres. Dans cette perspective, l'accent est mis sur un raisonnement pluriannuel de priorisation des activités européennes et d'articulation des outils nationaux et européens.

Afin de simplifier et de fluidifier les modalités de collaboration, et de contribuer à la construction de l'Espace européen de la recherche (EER), l'ANR a décidé de s'engager au sein de l'ERA-NET COFUND ERA CoBioTech.

L'objectif est de contribuer au financement des projets européens et internationaux, démontrant un haut niveau d'excellence scientifique, en soutenant la participation des équipes nationales.

Le présent appel à projets vise à relever les défis dans le contexte des technologies clés génériques (ou KET, Key Enabling Technologies) dans le domaine de la biotechnologie et de la bioéconomie et à relever les défis de la société du XXI<sup>e</sup> siècle, tels que la décarbonisation de l'économie et la réduction de la dépendance aux matières premières fossiles. Pour y parvenir, le financement fourni par les programmes nationaux et régionaux des États membres et d'autres pays sera combiné avec les efforts de la Commission européenne dans l'appel cofinancé pour les projets de recherche multilatéraux portant sur « la biotechnologie pour une bioéconomie durable ».

Le but de ERA CoBioTech est de créer des liens entre partenaires de recherche ayant une expertise scientifique et technologique différente mais complémentaire afin de maximiser les ressources et de partager les risques, les coûts et les compétences. Une condition préalable pour que les projets transnationaux soient pris en charge par l'intermédiaire de cet appel est l'inclusion des différentes parties de la chaîne de valeur, pour faciliter la mise sur le marché des produits, technologies ou services nouvellement développés. Le partenariat entre les chercheurs industriels et universitaires dans les domaines de la biotechnologie permettra d'améliorer et d'accélérer le transfert de technologie, et de renforcer les efforts européens pour parvenir à un développement industriel durable.

#### 2. PÉRIMÈTRE DE L'APPEL CONJOINT

#### 2.1 OBJECTIF DE L'APPEL CONJOINT

L'objectif de l'appel est de contribuer à la transformation de l'économie mondiale en passant de la dépendance aux matières premières fossiles à une économie durable basée sur les biotechnologies.

Les propositions soumises

doivent être multidisciplinaires et inclure au moins l'une des approches scientifiques suivantes:

- Biologie synthétique
- Biologie systémique
- Utilisation d'outils bio-informatiques pour l'identification et l'utilisation des voies métaboliques obtenues à partir de bases de données génomiques
- Toute approche biotechnologique (éventuellement en combinaison avec des approches chimiques) appropriée
- Critère d'éligibilité propre à l'ANR: Les projets uniquement basés sur la biologie synthétique ou systémique ne peuvent pas être reçus en tant que tels. Ils doivent être incorporés sous forme de tâches ou de WPs dans des projets consacrés au développement d'un processus de bioproduction ou de valorisation industrielle de la biomasse.

#### 2.2 DOMAINES DU PROJET

L'appel cofinancé couvre la biotechnologie industrielle, mais l'application doit aborder l'un des domaines décrits cidessous :



- I. Production et transformation durable de différentes matières premières et ressources biologiques en produits à valeur ajoutée.
- II. Nouveaux produits, produits à valeur ajoutée et produits d'approvisionnement
- III. Procédés industriels durables

#### 3. MODALITÉS DE SOUMISSION

Dans le cadre de cet appel les projets seront soumis en deux étapes.

Les pré-propositions et les propositions de projet, rédigées en langue anglaise, devront être déposées par le coordinateur, sur le site de soumission de l'ERA-NET COFUND ERA CoBioTech, en respectant le format et les modalités demandés, disponibles sur le site : https://www.submission-cobiotech.eu/

Durant tout le processus, le secrétariat de l'appel (<u>ptj-cobiotech@fz-juelich.de</u>) est le point de contact pour tout candidat.

La date limite de dépôt des dossiers de pré-propositions (étape 1) sur le site de soumission est fixée au **02 Mars 2017** à 13 h (CET).

La date limite de dépôt des dossiers de propositions (étape 2) sur le site de soumission est fixée au **20 Juillet 2017 à 13 h (CEST)**.

#### 4. ELIGIBILITÉ

Pour être éligibles, les pré-propositions et les propositions doivent respecter les critères décrits ci-après, qui sont cumulatifs.

Critères d'éligibilité propres à l'ANR:

#### - Caractère complet

La pré-proposition doit être déposée sur le site de soumission à la date de clôture de soumission des prépropositions. Aucun document n'est admis après cette date.

La proposition doit être déposée sur le site de soumission à la date de clôture de soumission des propositions. Aucun document n'est admis après cette date.

#### - Thèmes de collaboration scientifique

Une pré-proposition ou une proposition doit correspondre à un thème de collaboration scientifique tel que précisé dans l'appel dont le lien est en page 1. Les projets uniquement basés sur la biologie synthétique ou systémique ne peuvent pas être reçus en tant que tels. Ils doivent être incorporés sous forme de tâches ou de WPs dans des projets consacrés au développement d'un processus de bioproduction ou de valorisation industrielle de la biomasse.

#### Caractère unique

Une pré-proposition ou une proposition ne doit pas être semblable en tout ou partie à une autre préproposition ou proposition soumise ou ayant donné lieu à un financement de l'ANR.

Le caractère semblable est établi lorsque les pré-propositions ou les propositions en cause (dans leur globalité ou en partie) décrivent des objectifs principaux identiques, ou résultent d'une simple adaptation, ET impliquent des équipes majoritairement identiques.

Critères d'éligibilité qui s'appliquent dans le cadre de l'appel émanant de l'ERA-NET COFUND ERA CoBioTech:

• Les projets doivent se situer dans les domaines de la Biotechnologie industrielle, la Biologie synthétique, la Biologie systémique et les applications Chimiques/biotechnologiques et couvrir tout le périmètre de l'appel, tel que définie dans section 2. Les pré-propositions ne couvrant pas le périmètre de l'appel seront rejetées avant la phase d'évaluation.



- Les consortiums doivent inclure au moins trois partenaires qui demandent un financement de trois pays membres de ERA CoBioTech différents contribuant au financement de l'appel. Les consortiums peuvent impliquer un maximum de six partenaires, sauf si des partenaires issus d'Argentine, d'Estonie, d'Israël, de Lettonie, de Pologne, de Roumanie, de Russie et de Turquie font partie du consortium, auquel cas le nombre maximum autorisé de partenaires est de huit. Le nombre de partenaires éligibles en provenance du même pays ne doit pas dépasser deux.
- Toute proposition qui ne répond pas au critère du nombre minimum de partenaires, dépasse le nombre maximum de partenaires ou inclut un partenaire non éligible est rejetée avant la phase d'évaluation.
- Le coordinateur d'un consortium doit appartenir à une organisation éligible au financement de l'une des agences participant à l'appel. Une organisation qui envisage de participer à ses propres frais, sans financement public prévu par l'appel cofinancé de l'ERA-NET COFUND ERA CoBioTech, ne peut pas agir en tant que coordinateur.

#### 5. EVALUATION

Dans le cadre de cet appel, un comité évalue les propositions selon les modalités énoncées en annexe du plan de travail de la Commission Européenne.<sup>2</sup>

L'appel conjoint de l'ERA-NET COFUND ERA CoBioTech prend la forme d'une procédure en deux étapes :

- Étape 1 : examen au niveau national ou transnational et examen international unique par les pairs.
- Étape 2 : examen international unique par les pairs.

#### 5.1 CRITÈRES ÉTAPE 1

Les critères d'évaluation des propositions complètes sont :

- la pertinence du projet vis-à-vis de la portée de l'appel à projets conjoint
- l'excellence;
- l'impact.

Le détail des critères d'évaluation est disponible dans le texte de l'appel à projet dont le lien figure en page 1.

Une traduction en français pourra être fournie, sur demande auprès de l'ANR.

#### 5.2 CRITÈRES ÉTAPE 2

Les critères d'évaluation des propositions complètes sont :

- l'excellence;
- l'impact;
- la qualité et l'efficacité de la mise en œuvre.

Le détail des critères d'évaluation est disponible dans le texte de l'appel à projet dont le lien figure en page 1.

Une traduction en français pourra être fournie, sur demande auprès de l'ANR.

#### **5.3 CLASSEMENT**

Les propositions sont classées selon les résultats de l'évaluation. La sélection s'effectue sur la base de ce classement.

#### **5.4 RÉSULTATS**

Les projets sont financés sur la base de la liste établie par le comité d'évaluation à concurrence de la capacité budgétaire des membres de l'ERA-NET COFUND. Dans le cadre des ERA-NET COFUND, la liste des projets sélectionnés pour

<sup>&</sup>lt;sup>2</sup> Annexe générale du Plan d'action d'Horizon 2020 sur les ERA-NET Cofund : http://ec.europa.eu/research/participants/data/ref/h2020/wp/2014\_2015/annexes/h2020-wp1415-annex-d-eranet-cofund\_en.pdf



financement s'arrête dès qu'un projet ne peut être financé par tout ou partie des agences membres de l'ERA-NET COFUND.

#### 6. DISPOSITIONS POUR LE FINANCEMENT

Chaque agence financera les dépenses relatives aux équipes de son pays **selon ses propres règles.** Les modalités d'attribution des aides de l'ANR sont précisées dans le « Règlement financier » disponible à l'adresse <a href="http://www.agence-nationale-recherche.fr/RF">http://www.agence-nationale-recherche.fr/RF</a>

Les sous-traitants peuvent être inclus et sont gérés conformément aux règles de financement national ou régional du participant éligible (voir ANNEXE 2).

Les échéances applicables pour les rapports intermédiaires et finaux sont celles déterminées par l'appel. Ces rapports doivent être transmis au secrétariat de l'appel et à l'ANR.



## **Germany - BMBF**

Participating organisation: BMBF

Indicative Budget: 9.0 M€

National Contact Person(s):

Dr. Petra E. Schulte / Dr. Christian Breuer Tel.: +49 2461 61-9031 / +49 2461 61-96929

E-Mail: petra.schulte@fz-juelich.de / c.breuer@fz-juelich.de

Bundesministerium

für Bildung und Forschung

#### Name of & link to the funding programme(s) and other relevant information:

Information about "Nationale Forschungsstrategie BioÖkonomie 2030" is provided under http://www.bmbf.de/de/biooekonomie.php.

Min.project duration:proposed projects may last up to 36 monthsMax. project duration:proposed projects may last up to 36 months

Maximum funding per project: there is no minimum and/or maximum funding per project

#### Institutional eligibility criteria:

Applicants must be research groups from academia, universities, research organisations or industry. Enterprises, especially SMEs, may participate as partners provided they can prove their financial stability (see "additional information")

#### Institutional thematic priorities:

BMBF will support projects within the entire scientific scope outlined in the Call Announcement except applications in bioenergy.

BMBF puts strong emphasis on the application of the FAIRDOM data management approach.

#### Proposals with the following focus cannot be funded:

Applications in bioenergy.

#### Additional information:

German applicants are strongly recommended to contact their National Contact Persons before submitting a proposal and to refer to the National Announcements which can requested from the National Contact Persons.

German applicants who are eligible to receive "Projektpauschale" must make sure, that this is drawn in the section "Finances" under "Overhead". Additional explanations can be put down in writing in the section "Comments on finances".

- BMBF funding of the ERA CoBioTech co-funded call is provided as delineated in the announcement "Richtlinie zur Förderung transnationaler Verbundvorhaben auf dem Gebiet der Biotechnologie im Rahmen des ERA-Net Cofund CoBioTech" published in December 2016.
- Funding bases on §§23 and 44 BHO (Bundeshaushaltsordnung) and associated administrative regulations. The main items are as follows:
  - The procedure ("Verfahren") is according to the above mentioned protocol (see Chapter "Application Procedure on page Fehler! Textmarke nicht definiert."). Funding will be awarded as non-repayable project grant.
  - The funding regulations, the follow up and reporting of publicly funded projects are regulated according to ANBest (Allgemeine Nebenbestimmungen), BNBest (Besondere Nebenbestimmungen), and NKBF 98 (Nebenbestimmungen für Zuwendungen auf Kostenbasis des Bundesministeriums für Bildung und Forschung an Unternehmen der gewerblichen Wirtschaft für Forschungs- und Entwicklungsvorhaben). Additionally, reporting duties as delineated in this Call Announcement in the Chapter "Reporting requirements" must be respected as well.



- Eligible applicants are universities, research institutions and enterprises, especially SMEs, domiciled in Germany.
  - o For universities intending to request the "Projektpauschale" in the frame of the present call, the project costs budgeted in the pre-proposal must include this "Projektpauschale". More information are available in the "FAQ zur Projektpauschale": https://foerderportal.bund.de
  - o Research institutions, which receive basic financing, can be funded subject to specific conditions
- Enterprises must be registered in Germany and must be well established in Germany with plants, laboratories, employees, etc. The proposed project must add benefit to the national economy. In order to prove their financial ability to participate in the proposed project, Small and Medium Enterprises (SMEs) have to submit, together with the electronic submission of the Pre-proposal (documents received latest on 04.03.2015) the following documents:
  - ✓ Financial statement for the last two years (Jahresabschlüsse der letzten zwei Jahre)
  - ✓ Confirmation of the financial own contribution (Erklärung zur Aufbringung des Eigenanteils)
  - ✓ Business assessment (Aktuelle BWA)
  - ✓ Liquidity planing for the duration of the proposed project (Liquiditätsplanung für die Laufzeit des vorgeschlagenen Projektes)

The documents have to be submitted to:

Dr. Petra E. Schulte

Projektträger Jülich Geschäftsbereich Bioökonomie PtJ BIO 7: Europäische Initiativen und Internationales Forschungszentrum Jülich GmbH D-52425 Jülich

Tel.: +49 2461 61-9031 Fax: +49 2461 61-1790 petra.schulte@fz-juelich.de

Double funding is not possible.

In the case of a positive funding recommendation all German applicants will be asked to submit a formal national application. It is strongly recommended to use the electronic application system "easy-online" (https://foerderportal.bund.de/easyonline)



## Germany –FNR



Participating organisation: Fachagentur Nachwachsende Rohstoffe e.V. (FNR)

Agency for Renewable Resources

Indicative Budget: 2.0 M€

National Contact Person(s): Tina Hausmann

Tel.: +49-3843-6930-172 E-Mail: t.hausmann@fnr.de

#### Name of & link to the funding programme(s) and other relevant information:

Funding through FNR is based on the funding programme "Renewable resources" of the Federal Ministry of Food and Agriculture.

http://www.fnr.de/projektfoerderung/fuer-antragsteller/foerderprogramm-nachwachsende-rohstoffe/

Max. project duration: 36 months

**Maximum funding per project:** The total requested funding of all German Partners in a consortium may

not exceed o.5 M €.

#### Institutional eligibility criteria:

- Funding quota of German participants can be up to 100 % for universities or research organisations. In the
  case of companies, funding quota will be decided on a case-by-case basis depending on the size of the
  company, type of research/development, risk associated with the research activities, commercial
  perspective of exploitation, typically up to max. 50%. In this case, overhead costs can be considered for
  companies.
- In case of small and medium enterprises, an additional bonus of 10-20 % funding quota can be awarded.
- There is no obligation regarding the number of companies to be involved from Germany but company participation is recommended for dissemination and exploitation of results.
- Funding for companies in Germany is only possible if the company is registered in Germany. The company
  must be well established in Germany with plants, laboratories, employees, etc. The company must have
  appropriate own financing for the project as well. The company must demonstrate its capability (in terms
  of dimension: personnel, laboratories, facilities) to gear properly its project effort.
- The project must add benefit to the German economy.

#### Institutional thematic priorities:

FNR is providing funding for applicants who propose innovative applied research activities beyond the state of the art related to the sustainable production and utilization of renewable resources.

#### Proposals with the following focus cannot be funded:

Food, feed, pharmaceutical production and red biotechnology



# **Germany - Freestate of Saxony**



Participating organisation: Saxon State Ministry for Higher Education, Research and the Art

(SMWK)

Indicative Budget: 1.0 M€

Regional Contact Person(s):

Name: Gabriele Süptitz Tel.: +49 351 564 6422

E-Mail: <a href="mailto:gabriele.sueptitz@smwk.sachsen.de">gabriele.sueptitz@smwk.sachsen.de</a>

cobiotech@smwk.sachsen.de

Name of & link to the funding programme(s) and other relevant information:

please contact the regional contact person

Max. project duration: 36 months

Maximum funding per project: no limitation

**Institutional eligibility criteria:** see Saxon guidelines

**Institutional thematic priorities** SMWK will support projects within the entire scientific scope outlined in

the Call Announcement

**Proposals with the following focus cannot be funded:** All proposal within the scope outlined in the Call Announcement can be funded.

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#### Additional information:

In the case of a positive funding recommendation, Saxon applicants will be asked to submit a regional application according to the related Saxon guidelines.



#### Israel - CSO-MoH



Participating organisation: Chief Scientist Office, Ministry of Health (CSO-MOH)

Indicative Budget: 0.2 M€

National Contact Person(s): Dr. Ronit Meyuhas

The Chief Scientist Office Israeli Ministry of Health

39, Yirmiyahu St. Jerusalem 91010, Israel

Tel: +972-2-5082159

E-mail: Ronit.meyuhas@moh.gov.il

#### Name of & link to the funding programme(s) and other relevant information:

Research fund at the Chief Scientist Office, Ministry of Health.

http://www.health.gov.il/Subjects/research/International\_cooperations/Pages/default.aspx

Min. project duration:24 monthsMax. project duration:36 months

#### Maximum funding per project:

A maximum grant of 100,000 Euro will be awarded for each project.

An additional 10,000 Euro can be requested if Israeli researcher is the coordinator of the consortium.

#### Institutional eligibility criteria:

Research institutes in Israel.

PI should hold a Ph.D., M.D., D.M.D., D.Sc. or equivalent degree and employed by an eligible institution. Research will not be funded simultaneously by CSO-MOH on more than one grant (ERA-NET or national). Researchers can not apply for more than one grant from any ERA-NET funded by CSO-MOH or submit more than one proposal for any single programme.

#### Institutional thematic priorities:

CSO-MOH will only fund research with biomedical relevance.

**Proposals with the following focus cannot be funded:** projects which are not related even remotely to health will not be approved.

#### Additional information:

Prior to submission, researchers must submit to CSO-MOH <u>ronit.meyuhas@moh.gov.il</u> an abstract approved by their research authority describing their part in the consortium (not the proposal abstract), as well as budget and justification. Thus no submission of abstract can result in declaration of the consortium as ineligible. Funded participants will be requested to submit financial and scientific reports to CSO-MOH.



## Italy – MIUR



Participating organisation: Ministero dell'Istruzione, dell'Universita e della Ricerca (MIUR)

Indicative Budget: 400.000 €

National Contact Person(s): Aldo Covello

E-mail: aldo.covello@miur.it

Tel: +39 0658496465

Giorgio Carpino

E-mail: giorgio.carpino@miur.it

Tel: +39 0658497147

#### Name of & link to the funding programme(s) and other relevant information:

FIRST - Fondo per gli Investimenti nella Ricerca Scientifica e Tecnologica

Min. project duration:24 monthsMax. project duration:36 months

#### Maximum funding per project:

A maximum grant of 150.000 Euro can be awarded to each project proposal, even if it includes more than one Italian participant.

#### Institutional eligibility criteria:

A maximum of two Italian participants per project proposal is admitted.

A Principal Investigator can participate (either as coordinator or as partner) in only one project proposal.

All costs incurred during the lifetime of the project under the following categories are eligible: Personnel, Equipment, Subcontracting, Consumables, and Overheads.

		Funding Rates					
\ \ \	pplicant ypology	Enterprises and private research bodies (which meets the requirements of research organization under EU Reg. no. 651/2014 of the Commission - June 17, 2014)			Universities, public research institutions, research organizations (public and private) in accordance with Reg. EU n. 651/2014 of the		
typology		Small Enterprises	Medium Enterprises	Big Enterprises	Commission - June 17, 2014)		
Industrial Research	grant	40%	30%	20%	50%		
Experimental Research	grant	30%	20%	10%	25%		

On request of applicants a pre-payment may be done, equal to:

- 80% of the total contribution for public entities;
- 50% of the total contribution for private entities.

The remaining part of contribute will be paid in instalments after each financial and progress reporting period.

#### 1) Type/nature of participants

The following entities are eligible for funding, providing that they have stable organization in Italy:

- Enterprises and private research bodies (which meets the requirements of research organization under EU Reg. no. 651/2014 of the Commission - June 17, 2014)



- Universities, public research institutions, research organizations (public and private) in accordance with Reg. EU n. 651/2014 of the Commission - June 17, 2014).

#### 2) Legal/administrative/financial conditions:

The participant must not be defaulting with regard to other funding received by MIUR.

The participant must not have requested/got any other funding for the same research activities.

The participant must respect the Italian law against "mafia".

#### 3) Financial conditions

For any private entity, the following financial criteria, calculated using the data reported in the last approved balance sheet, must be fulfilled:

#### I. CN > (CP - I)/2

Where:

CN = net assets (Capitale netto)

CP = sum of the costs of all the projects for which public funding has been requested by the participant during the year

I = sum of the contributions received, approved or requested for the same projects

#### II. OF/F < 8%

Where:

OF = financial charges (Oneri finanziari)

F = turnover (Fatturato)

In addition to pre-proposals and full proposals that shall be submitted at European level, Italian participants must provide MIUR with a set of additional National documents as defined in the "Avviso integrativo nazionale" published on MIUR website (http://www.ricercainternazionale.miur.it). These national additional documents must be sent to MIUR by the same deadline of the preproposal phase submission established in the international joint call.

Any participant who does not send its national documents by the deadline of the FLAGERA call, will be considered not eligible for funding.

The admission for funding is subject to the adoption of the necessary accounting and administrative measures for the allocation of the resources.

Funded participants will be requested to submit financial and scientific reports to MIUR.

It is recommended to contact the National Contact Persons already in early stage of project preparation.

Eligible participants must have a stable organization in Italy.

#### Institutional thematic priorities:

All activities classifiable as Industrial research and Experimental development are eligible for funding.

Furthermore, Industrial research activities must be predominant with respect to Experimental development activities.

#### Proposals with the following focus cannot be funded: -

#### Additional information:

The criteria and provisions provided herewith are intended only for informative purposes. The complete list of criteria and provisions legally valid, which must be respected by all the Italian participants, is included in the "Avviso integrativo nazionale", which will be published on MIUR website (http://www.ricercainternazionale.miur.it) and in the applicable Italian laws.



## Latvia - VIAA

Participating organisation: Valsts izglitibas attistibas agentura (VIAA)

Indicative Budget: 0.3 M€

National Contact Person (s):

Linda Kluga-Rajcevica Tel. 00371 67559495

E-Mail: linda.kluga-rajcevica@viaa.gov.lv

#### Name of the funding programme:

National Programme "Support for Participation in European Union's Research and Technology Development Programs"

Max. project duration: 36 months

Maximum funding per project: EUR 210 000

#### Institutional eligibility criteria:

Following <u>legal persons</u> (as defined under Latvian law) are eligible for funding, except natural persons:

- » R&D institutions (research institutes, universities, higher education establishments, research centres etc.) that are listed in the Registry of Research Institutions operated by the Ministry of Education and Science of the Republic of Latvia.
- » Private entities that are registered in the Registry of Enterprises of the Republic of Latvia and provide most of their R&D&I activities in the Republic of Latvia.

Types of costs that are eligible for funding: Direct Costs:

- » personnel costs,
- » other direct costs such as consumables, equipment (only depreciation costs), materials, events etc.,
- » subcontracts (up to 20% of the total direct costs)
- » travel costs.

Indirect costs can reach a maximum of 25% of the total direct costs. Upper funding limit is EUR 70 000 per year per project participant.



#### Netherlands - NWO



Participating organisation: Nederlandse Organisatie voor Wetenschappelijk Onderzoek (NWO)

Indicative Budget: 1.0 M€

National Contact Person(s): Ms. Susan Licumahua

Tel.: +31 70 344 0615

E-Mail: s.licumahua@nwo.nl

Mr. Mark Schmets, Tel.+31 70 344 0537

E-Mail: , m.schmets@nwo.nl

#### Name of & link to the funding programme(s) and other relevant information:

NWO supports the ERA-NET-Cofund on Biotechnology (ERA CoBioTech) and encourages its Biotechnology research community to apply for funding for collaborative research in Europe. NWO's participation in ERA CoBioTech is part of the European dimension of the national programme <a href="http://www.nwo.nl/en/research-and-results/programmes/building+blocks+of+life">http://www.nwo.nl/en/research-and-results/programmes/building+blocks+of+life</a>. This National Annex is an annex to the ERA CoBioTech Joint Call for Proposals and applies to (co-) applicants who perform their research activities in the Netherlands.

Min.project duration:noneMax. project duration:36 months

Maximum funding per project: 250,000 €

#### Institutional eligibility criteria:

NWO will fund the Dutch part of any successful transnational collaboration, within the reach of the available budget. Researchers of Dutch universities and selected Dutch institutes under the standard NWO rules are eligible to apply, as described in <a href="http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015">http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015</a>. TO2 institutes and universities of applied sciences – HBO-instellingen – (if paid in accordance with Article 8.1 of the law on higher education and scientific research and a member of the Vereniging Hogescholen) may act as (co-) applicant, in addition to the NWO groups as identified in the <a href="http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015">http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015</a>. Article 1.1.1 TO2 institutes and universities of applied sciences can also receive (part of) the grant applied for (see section 1.1.2 <a href="http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015">http://www.nwo.nl/documents/nwo/juridisch/nwo-regeling-subsidies-1-december-2015</a>.

For all ERA CoBioTech applications involving Dutch applicants, the involvement of a Dutch university or institute as (co-)applicant (as identified in the "NWO Regeling Subsidies", Article 1.1.1) is required.

A consortium agreement between the project partners is required for funding (after the final funding decision), although the principles of the agreement should be clear in the application form.

Industrial participants from the Netherlands are encouraged to participate in the consortium and contribute to the project. Industrial participants cannot receive funding.

The maximum total amount applied for by Dutch applicants is  $k \in 250$  per proposal. Please note: if the Dutch subproject involves more than one party, the total of  $k \in 250$  per proposal applies to the total joint budget applied for by all Dutch parties involved in the subproject. I.e., the joint budget applied for by Dutch applicants cannot exceed  $k \in 250$  per proposal.

(Co-)Applicants should have an employment contract for at least the duration of the application procedure and the duration of the research the grant is applied for. Exceptions to the required employment duration can be made for main applicants holding a "tenure track" position that covers at least half the duration required and for co-applicants if the applicants prove by means of a letter that adequate supervision of all researchers for whom funding is applied for, can be guaranteed for the full duration of the grant.



#### **Budget and themes**

The total budget available to fund participants from the Netherlands is approximately M€ 1.0. All themes mentioned in the Call Announcement can be addressed.

#### Technology readiness level

Please be aware that projects should fall within Technology Readiness Levels (TRL) 3-6, although projects are not necessarily required to cover the whole range. Industry engagement should be appropriate for the TRL range being investigated. The ERA CoBioTech international panel of experts will take these TRL into account.

#### Type and amount of funding

Funding can be awarded to cover all reasonable, non-infrastructural costs for the duration of the project. The grant can be used for:

- temporary personnel costs;
- consumables;
- equipment related to the research proposal;
- travel expenses;
- costs for Gold Open Access publishing (see also below for additional funding for covering Open Access publishing).

For all eligible applicants the <u>VSNU standard tariffs</u> (July 2016) for personnel costs apply.

#### Open Access publishing

To cover the costs of Article Processing Charges (APCs, the editing and publication costs for Open Access articles and books) principal applicants of research funded by NWO can turn to the <a href="NWO Incentive Fund Open Access">NWO Incentive Fund Open Access</a>. Up to 6000 euros per research project can be applied for. The direct placing of publications in the repository (Green Open Access) is usually free of charge. As NWO wants to encourage Gold Open Access, the Incentive Fund can only be used for APCs of articles in Gold Open Access journals or books that at the moment of publication are available in Open Access form.

#### Duration of the project

ERA CoBioTech projects have a maximum duration of 3 years, due to EU Cofund-regulations, therefore researchers in the Netherlands can only apply for postdocs (not PhD positions).

#### Maximum Budget

The total costs of the Dutch part of an awarded grant cannot exceed € 250,000 per ERA CoBioTech proposal.

#### Personnel costs

Applicants may apply for NWO funding and participate in a ERA CoBioTech consortium as main applicant or as coapplicant who are employed, at least for the duration of the project, at Dutch universities, NWO and KNAW institutes, TO2 institutes and HBO institutes. Applicants can apply for a temporary postdoc position or a similar function (in terms of functions and grading) at an institute. For HBO and TO2 institutes: maximum eligible costs for junior researchers are equivalent to the PhD salary level, maximum eligible costs for senior researchers are equivalent to Postdoc salary level. Indemnification shall be in accordance with the standard costs in the NWO-VSNU agreement on the funding of scientific research.

For details and tariffs: www.nwo.nl/financiering/hoe-werkt-dat/salaristabellen. Tariffs are indexed annually. An indication for 2016: (incl. Personal "bench fee" for postdocs): post-doc 1 yr  $-k \in 73$ , post-doc 2 yr  $-k \in 142$ , post-doc 3 yr  $-k \in 212$ , technician 1 yr  $-k \in 61$ .

## **Application Procedure**

The applicants must submit their joint application via the ERA CoBioTech submission website (<a href="www.submission-cobiotech.eu/">www.submission-cobiotech.eu/</a>). NWO makes the final decision on the granting of the proposals concerning researchers who apply for funding and who are affiliated to organizations in the Netherlands, based on the advice of the Executive Board of ERA CoBioTech.

#### **National Contact**

Ms. Susan Licumahua, <u>s.licumahua@nwo.nl</u>, +31 70 344 0615 Mr Mark Schmets, <u>m.schmets@nwo.nl</u>, +31 70 344 0537



# Norway - RCN

Participating organisation: Research Council of Norway (RCN)

Indicative Budget: 1.5 M€

National Contact Person(s):

Dr. Øystein Rønning Tel: +4791623960 E-Mail: <u>oro@rcn.no</u>

Name of & link to the funding programme(s) and other relevant information:

BIOTEK2021 (http://www.forskningsradet.no/biotek2021)

#### Additional information:

The Research Council of Norway (RCN) participates through the national program Biotechnology for Innovation (BIOTEK2021) in all call topics. RCN does not require a national application, but it should be clear from the common application what role the Norwegian partners would have and the size of their budget. The total sum of the budgets of the Norwegian participants in each proposal must be limited to 5 M NOK (540 000 EUR).

Universities and research organisations may receive funding according to the rules of Researcher projects (Forskerprosjekt) of RCN. In these cases up to 100% of total eligible costs may be funded.

Companies and commercial entities may receive funding according to the rules of Innovation Project for the Industrial Sector (Innovasjonsprosjekter i næringslivet) of RCN. In these cases up to 50% of total eligible costs may be requested if this funding is used to cover the costs of activities that fall completely within the R&D categories "fundamental research" or "industrial research" as defined in the state aid rules.

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The Research Council

of Norway



## Poland - NCBR

Participating organisation: Narodowe Centrum Badań i Rozwoju

Indicative Budget: 0.7 M€

National Contact Person(s):

Malgorzata Zieminska Tel. **+48 22 39 07 493** 

E-Mail:

Name of & link to the funding programme(s) and other relevant information:

BIOSTRATEG "environment, agriculture and forestry"

Max. project duration: 36 months

**Maximum funding per project:** In relation to the budget limit (700 000  $\epsilon$ ) it is recommended that one

project partner can require up to 200 000 €.

Institutional eligibility criteria:

Following entities are eligible to apply:

Research organizations;

Micro, Small, Medium and Large Enterprise.

The project consortium with Polish participation must include at least one Polish enterprise to be eligible for funding.

Organization must be registered in Poland.

All proposals must be aligned with National regulations, inter alia:

- The Act of 30 April 2010 on the Principles of Financing Science, published in Journal of Laws item 1620, 2014;
- The Act of 30 April 2010 on the National Centre for Research and Development, published in Journal of Laws item 1788, 2014 and item 249, 2015;
- The Regulation of the Minister of Science and Higher Education of 25 February 2015 on criteria and rules on granting state aid and "de minimis" aid by the National Centre for Research and Development, published in Journal of Laws item 299, 2015.

#### The eligible costs shall be the following:

- 1. Personnel costs (researchers, technicians and other supporting staff to the extent employed on the research project);
- 2. Costs of instruments and equipment, technical knowledge and patents to the extent and for the period used for the research project; if such instruments and equipment are not used for their full life for the research project, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice, shall be considered eligible;
- 3. Costs for buildings and land, to the extent and for the duration used for the research project; with regard to buildings, only the depreciation costs corresponding to the life of the research project, as calculated on the basis of good accounting practice shall be considered eligible; for land, costs of commercial transfer or actually incurred capital costs shall be eligible;
- 4. Cost of contractual research, costs of consultancy and equivalent services used exclusively for the research activity; this cost type cannot account for more than 70% of all eligible costs of a project; the subcontracting can be obtained from consortium partner only in justified case, this need will be verified by a national experts panel;

Narodowe Centrum Badań i Rozwoju



- 5. Other operating costs including costs of materials, supplies and similar products incurred directly as a result of the research activity;
- 6. Additional overheads incurred indirectly as a result of the research project; that costs cannot account for more than 25% of all eligible project costs; That costs (6) are counted as a multiplication by percentage given above (called x%) and the rest of direct costs, excluding subcontracting (4); It means 6=(1+2+3+5)\*x%.

Funding quota of Polish participants can be up to 100% for universities or research organisations. In the case of enterprises, funding quota will be decided on a case-by-case basis depending on the size of the company, type of research/development, risk associated with the research activities and commercial perspective of exploitation. Organization must be registered in Poland.

	Large Enterprises	Medium Enterprises	Micro/Small Enterprises	Universities and research organizations
Fundamental/Basic Research	n/a	n/a	n/a	n/a
Industrial/Applied Research	Up to 50+15 (max 65 %)	Up to 50+10+15 (max 75 %)	Up to 50+20+15 (max 80 %)	Up to 100 %
Experimental development	Up to 25+15 (max 40 %)	Up to 25+10+15 (max 50 %)	Up to 25+20+15 (max 60 %)	Up to 100 %

In any case only Applied Research and Experimental Development will be funded.

Other type of activities (e.g. coordination, dissemination, management) cannot be included into separated task.

All eligible entities, invited to submit Polish proposal are obliged to use the rate of exchange of The European Central Bank dated on the day of opening the call.

Institutional thematic priorities:

No topic priorities

Proposals with the following focus cannot be funded:

NA

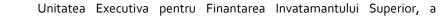
#### Additional information:

Applicants are advised that this annex is for general guidance only. For more detailed rules and regulations please refer to the national call announcement and contact the National Contact Person.



#### Romania - UEFISCDI

Participating organisation:



Cercetarii, Dezvoltarii si Inovarii (UEFISCDI)

Indicative Budget: 0.5 M€ Euro

National Contact Person(s): Adrian Asanica

Tel. +40744.45.00.11

E-Mail: adrian.asanica@uefiscdi.ro

Name of & link to the funding programme(s) and other relevant information:

Max. project duration: 36 months

Maximum funding per project: 0.2 / 0.25

#### Institutional eligibility criteria:

Eligible entities for funding are universities, public institutions, R&D national institutions, joint-stock companies, SME's and Large companies, NGOs (associations, foundations, etc.), others.

Funding rates vary in accordance with state aid legislation.

Institutional thematic priorities: none

Proposals with the following focus cannot be funded: -

#### Additional information:

- a. Staff costs;
- b. Logistics expenses
  - Capital expenditure;
  - Expenditure on stocks supplies and inventory items;
  - Expenditure on services performed by third parties cannot exceed 25 % of the funding from the public budget. The subcontracted parts should not be core/substantial parts of the project work;
- c. Travel expenses;
- d. Overhead (indirect costs) is calculated as a percentage of direct costs: staff costs, logistics costs (excluding capital costs and cost for subcontracting) and travel

For more information: <a href="http://uefiscdi.gov.ro/articole/4536/Pachet-de-informatii-ERANETERANET-Cofund.html">http://uefiscdi.gov.ro/articole/4536/Pachet-de-informatii-ERANETERANET-Cofund.html</a>



### **Russia - FASIE**



Participating organisation: Foundation for Assistance to Small Innovative Enterprises (FASIE)

Indicative Budget: 1.0 M€

National Contact Person(s): Olga Levchenko

Tel. +7 495 231 38 51

E-Mail: levchenko@fasie.ru

#### Name of & link to the funding programme(s) and other relevant information:

Max. project duration: 24 months

Maximum funding per project: 0.2

#### Institutional eligibility criteria:

Only small compenies according to the Russian law (Federal Law №209, Article 4): up to 100 personnel, up to 800 mln Roubles turnover and less than 49% participation of public, foreign and large companies. Special exception is made for "Skolkovo" residents. Universities, research organizations and other public bodies can participate as subcontractors (with not more than 30% share received as a grant from FASIE being subcontracted.

Ideally, applicant should be a small company not younger than 1 year with a proved track record, IP, and a good turnover.

#### Institutional thematic priorities: none

#### Additional information:

#### Which type of projects are eligible?

Technically and financially feasible R&D projects with an impact increase in the R&D company activities are eligible.

#### · Which types of costs are eligible?

Personnel costs (up to 40%), equipment (where applicable), subcontracting, some "other" costs are eligible. Travelling/subsistence, IPR, marketing are not eligible. Those have to be covered from cofinancing.

50% of the amount of the grant provided by FASIE should be co-financed (7.5 million Roubles)

#### Funding is provided as grant/loan/capital loan/guarantee

Funding is provided as a grant.

#### Limits of funding per proposal

Maximum amount per proposal is 15 millions Rubles (the amount which can be provided by FASIE-equivalent of 200 000 EUR). Projects can last 18 or 24 months.

## Other conditions

- The company which has an "open" contract with FASIE when applying for is not eligible;
- The project must add benefit to the national economy and demonstrate added value from the international cooperation;
- The project should demonstrate commercialization potential;
- There is no legal limitation to the size and financial status of the company; however, preference will be given to the already established companies having proven record and a good turnover;
- The company must have enough financial means to fund its own part of the project (50% of the amount of the grant) or, alternatively, find an investor;
- Companies which received funding from FASIE previously will have to provide additional materials showing the effectiveness of the funded projects;
- Russian applicant must submit a national application via the electronic submission system: online.fasie.ru

Details of the program can be found here: <a href="http://fasie.ru/programs/programma-internatsionalizatsiya/polozhenie-o-konkurse-mezhdunarodnye-programmy.php">http://fasie.ru/programs/programma-internatsionalizatsiya/polozhenie-o-konkurse-mezhdunarodnye-programmy.php</a>



#### Slovenia - MIZS



Ministry of Education, Science and Sport (MIZS) Masarykova 16, 1000 Ljubljana

Eligibility of a partner as a beneficiary institution: research organizations as defined in the national <u>Research and Development Act</u> (Zakon o raziskovalni in razvojni dejavnosti - ZRRD, Uradni list RS, št. 22/06 – uradno prečiščeno besedilo, 61/06-ZDru-1, 112/07, 9/11 in 57/12-ZPOP-1A. All participating institutions have to be registered in the Slovenian Research Agency register of research institutions (Informacijski sistem o raziskovalni dejavnosti v Sloveniji - Sicris).

Eligibility of principal investigator and other research team members: The project activities of the Slovenian partner have to be under the supervision of the <u>primary investigator/primary researcher</u> who fulfills the requirements for project leader as defined in Art. 29 of the national <u>Decree on criteria and standards for allocating resources for the implementation of the research activity, financed from the budget of the Republic of Slovenia (Uredba o normativih in standardih za določanje sredstev za izvajanje raziskovalne dejavnosti, financirane iz Proračuna Republike Slovenije, Uradni list RS, št. 103/11, 56/12, 15/14 in 103/15, from now on: Decree on criteria and standards). The criteria are further determined in the <u>Rules on Determining the Fulfillment of Conditions for a Research Project Leader</u> (Pravilnik o kriterijih za ugotavljanje izpolnjevanja pogojev za vodjo raziskovalnega projekta, Uradni list RS št. 41/09 in 72/11). All participating researchers have to be <u>registered in the Slovenian Research Agency register of researchers</u> (Sicris) and <u>must have available research hours</u>.</u>

Eligibility of costs: MIZS will fund all eligible costs of Slovenian researchers participating in successful transnational projects, recommended for funding in accordance with the *Decree on criteria and standards*. Eligible costs are defined based on the FTE value according to the Slovenian Research Agency's research project categorization (A, B, C or D based on the research conducted). Eligible costs must be directly related to the research conducted and should include <u>personnel</u> (according to article 16,18, 22 and 23 of the Decree), <u>material</u> (including travel, consumables and services) and <u>equipment</u> (amortization) costs as elements of the FTE. Indirect costs are eligible. The value is calculated based on the FTE value of category A, B,C, or D research projects, under the condition that costs under each of the specific FTE elements are appropriately decreased (by a max. of 20% for indirect costs).

Period of eligibility of public expenditures: as of budgetary year 2018 until the end of the budgetary year 2021. Period of eligibility of expenditures on the project: from the starting date of the transnational project stipulated in the consortium agreement for a period of 36 months, with a prescribed additional 30 day period for the payment of invoices related to the project costs. The exact duration of the project will be defined in the contract between MIZS and the selected Slovenian partner, after the consortium agreement between the selected consortium partners enters into force.

**National funding**: max. 630.000 EUR with a possibility of additional EC funding depending on EC contribution (in the form of top up funding)

**Total requested funding per project**: for all Slovenian partners within one consortium must not exceed 70.000 € per year (210.000 € for the total project duration of 36 months). **Funding:** 

<u>a) 100 % for research organization</u> (such as universities, public and private research institutes) <u>who's financed activity is non-economic</u> in accordance with the provisions of Community Framework for State Aid for Research and Development and Innovation (OJ EU C 198, 27. 6. 2014). Wide dissemination of research results on a non-exclusive and non-discriminatory basis is required.

b) For research organizations, under the provision of the Companies Act (Zakon o gospodarskih družbah, Uradni list RS, št. 65/09 - uradno prečiščeno besedilo, 33/11, 91/11, 100/11 - skl. US, 32/12, 57/12, 44/13 - odl. US, 82/13 in 55/15): 80% for small enterprises, 75% for medium sized enterprises and 65% for large enterprises.

**National contracting negotiations** will commence after the projects are selected for funding on the level of the transnational call. National documentation with a statement regarding the agreed starting date of the transnational project signed by the transnational project coordinator will be a prerequisite for signing the contract on national level

All Slovenian applicants are strongly advised to contact the Slovenian National Contact Person, Ms. Kim Turk before preparing proposals for application (<a href="mailto:kim.turk@qov.si">kim.turk@qov.si</a>; +386(1)4784705)



Spain - CDTI



Participating organisation: Centro Para el Desarrollo Tecnológico Industrial (CDTI)

Indicative Budget: 0.53 M€

National Contact Person(s):

Pilar de Miguel Tel: +34 915815502

E-mail: mariapilar.demiguel@cdti.es

Julian Díaz

Tel: +34 915815505

E-mail: julian.diaz@cdti.es

Name of & link to the funding programme(s) and other relevant information:

https://www.cdti.es/index.asp?MP=7&MS=724&MN=3

Min.project duration:12 monthsMax. project duration:36 months

Maximum funding per project: No restrictions apply for maximum funding per project but the

minimum project budget per partner is 175.000€

#### Institutional eligibility criteria:

Eligible entities: Companies established and carrying out R&D activities in Spain. Other entities such as universities, public research institutions, technological centres, and other private non-profit institutions could participate under subcontracting by Spanish companies.

Eligible activities: Projects may comprise both industrial research as well as experimental development activities, and must represent outstanding scientific-technical quality and high innovative potential. The Spanish part of the proposed work plan must be developed in Spain. Management and dissemination activities are explicity excluded for funding.

Project budget: The minimum fundable budget is €175,000.

#### Eligible costs:

- Personnel
- Instrument and equipment costs, to the extent and during the period in which they are used for the research project.
- Contractual research costs, technical knowledge and patents bought or licensed from outside sources at market prices, and costs for consulting and equivalent services intended exclusively for the research activity.
- Other operating expenses, including costs for material, supplies and similar products, which result directly from the research project.
- Indirect costs

#### Institutional thematic priorities:

Any topic described within the Call Announcement can be funded by CDTI provided that the above eligibility criteria are met.

Proposals with the following focus cannot be funded:



Only tasks related to either, technological industrial research or experimental development can be funded by CDTI. Management and dissemination activities are explicitly excluded for funding.

#### Additional information:

Applicants are encouraged to contact CDTI's National Contact Persons for getting advice about national funding rules before submitting a proposal.

Additionally to the international application process, all Spanish applicants that aim to received CDTI's funding (projects coordinators and partners) must submit a formal application (with the information related to National Eligibility check) by way of CDTI's electronic submission system. This information has to be submitted to CDTI no later than 10 days after the closing date for pre-proposals and full proposals, respectively.



# Spain - MINECO

Participating organisation: MINECO/Agencia Estatal de Investigación

Indicative Budget: 1 M€

National Contact Person(s): Ana Barra (Administrative and technical issues)

Auxiliadora Prieto (Scientific issues)

Tel: 34 91 603 83 98 E-Mail: <u>era-ib@mineco.es</u>

## Name of & link to the funding programme(s) and other relevant information:

Programa Estatal de Investigación, Desarrollo e Innovación Orientada a los Retos de la Sociedad, Plan Estatal de Investigación Científica y Técnica y de Innovación 2013-2016. Plan Estatal

The instrument for funding the Spanish groups will be the Spanish Call on International Joint Programming Actions (Acciones de Programación Conjunta Internacional), which will be launched in 2017 (APCIN 2017 or equivalent). Only as a reference, the beneficiaries are advised to read the call. <u>APCIN2016</u>

The Spanish legal entities granted are obliged by the regulations established in this APCIN call and by the funding limits specified below.

Call will be managed by the <u>Subdivisión de Programas Científico-Técnicos Transversales, Fortalecimiento y Excelencia</u> - Agencia Estatal de Investigación (AEI)

Min.project duration:3 yearsMax. project duration:3 years

Maximum funding per project: -

The following funding limits are considered eligibility criteria by MINECO. Proposals not respecting these limits could be declared non eligible.

When one Spanish legal entity participates in the proposal, the maximum funding is 150.000€ (additional 50.000€ when the project is coordinated by a Spanish PI).

When 2 Spanish legal entities participate in the same proposal, the maximum funding of the Spanish part should not exceed 200.000€ per project (additional 50.000€ when the project is coordinated by a Spanish PI).

The final funding will take into account the transnational evaluation of the collaborative proposal, the scientific quality of the Spanish group, the added value of the international collaboration, and the financial resources available.

#### Institutional eligibility criteria:

Eligible Partners for MINECO funding are non-profit research organizations according to the APCIN call APCIN2017. Final rules on eligibility will be defined in the APCIN call, to be published <a href="here">here</a>.

#### Mandatory:

Spanish Principal Investigators **must be** eligible according to the APCIN 2017 call and must have experience as investigators in projects funded by the *Plan Nacional I+D+i 2008-2011*, the *Plan Estatal I+D+i 2013-2016*, ERC Grants, or European Framework Programmes, and other international relevant programmes.

#### Not allowed:

- Principal Investigators are not allowed to apply for funding in more than one proposal of this call.
- <u>Principal Investigators</u> are not allowed to apply for funding in more than one proposal in APCIN 2017 call. <u>This must be taken into account when participating in other international initiatives, including ERA-Nets and calls in the frame of Joint Programming Initiatives (JPIs).</u>
- <u>Important note</u>: Principal Investigators, who obtain funding in one APCIN call, will not be allowed to apply in the APCIN call, or equivalent, in the following year.



Spanish PIs have to remain unchanged between the pre-proposal stage, the full proposal stage and the National APCIN call.

MINECO will avoid double funding (overlapping with other EU or National funding), and will not grant projects or parts of projects already funded.

Institutional thematic priorities: None

Proposals with the following focus cannot be funded:

#### Additional information:

- Personnel costs for temporary employment contracts (scholarships are not eligible).
- Current costs, small scientific equipment, disposable materials, travelling expenses and other costs that can be justified as necessary to carry out the proposed activities.
- Indirect costs (overheads) are not eligible for funding in the APCIN call.



#### Switzerland - CTI

Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Participating Organisation: Commission for Technology and Innovation CTI

Indicative Budget: 1 to 1.5 M CHF

National Contact Person(s): Alain Dietrich

Tel.: +41 58 464 92 87

E-mail: alain.dietrich@kti.admin.ch

Max. Project duration: 3 Years

#### Additional requirements:

For Swiss participants the transnational application to the central call office is not sufficient. It is compulsory for Swiss partners to provide an additional application during the **pre-proposal phase** to the Commission for Technology and Innovation (CTI) for the proposals where the expected national project part of the work is clearly and detailed described. The usual CTI funding rules and application forms will apply

(see www.kti.admin.ch/projektfoerderung/00025/index.html?lang=en for details). This means that:

- At least one Swiss company and one Swiss 'not-for-profit' research institution or university research center (university, Federal Institute of Technology, University of Applied Sciences) recognised by the CTI must be involved in a CTI funded R&D project. Projects involving several participants are particularly encouraged. Only applications with an implementation partner actively participating in the project will be evaluated by CTI and qualified as eligible.
- The industry partner has to cover at least 50% of the project costs from the total project work of the Swiss partners. The industry partner(s) must also provide a cash contribution to its academic partner.
- There is no federal funding allowed to companies.
- The project focuses on innovation. In general, no funding will be given to projects which propose marginal improvements of existing products, nor for projects with a purely basic research content.
- The criteria for proposal assessment are: degree of innovation with its economic impact, and scientific excellence, commercial potential, contribution to sustainable development, clear work program and a transparent budget.
- The project must have quantified, measurable goals and a coherent and structured schedule with verifiable progress milestones. It must be based on a thorough appraisal of the current state of the relevant technology and on an evaluation of the corresponding data base and patent rights.
- A contract on intellectual property rights must be signed prior to the start of the project. A confidentiality clause should be included where necessary.
- Regular reviews by CTI experts help the partners to adhere to the project contents and time schedule where necessary by asking for timely corrections.
- At the end of the project, a plan must be presented showing how the results will be implemented.

For more details, please refer to the CTI webpage with following link:

http://www.kti.admin.ch/projektfoerderung/ooo25/index.html?lang=en



# Turkey - TÜBITAK

Participating organisation: TUBITAK

Indicative Budget: 1.0 M€

National Contact Person(s): Dilek Sahin

Tel: 90 312 468 53 00

E-Mail: dilek.sahin@tubitak.gov.tr

#### Name of & link to the funding programme(s) and other relevant information:

1509 International Industrial R&D Grant Program

http://www.tubitak.gov.tr/sites/default/files/1509\_programi-255\_bk\_degisiklikleri\_islenmis\_hali.pdf

Min.project duration: -Max. project duration: -

Maximum funding per project: -

#### Institutional eligibility criteria:

- Only industrial partners can be funded
- Universities and research institutes can take part as subcontractors or consultants

#### Institutional thematic priorities:-

Proposals with the following focus cannot be funded:-

#### Additional information:

Turkish partners should apply to TUBITAK Technology and Innovation Funding Board to get their projects funded. The program that can be applied is the International R&D Grant Program (programme code: 1509). TUBITAK makes a national evaluation on the basis of the regulation and rules of the program. For further information please visit the above mentioned link and also see national call text on TUBITAK website.

- Only the industrial partners can apply to the program. Universities and research institutes are not eligible partners but they can take part as subcontractors or consultants.
- In the national evaluation, Turkish partner's contribution to R&D activities is the most important evaluation criteria. It is expected that Turkish partner involves in the R&D activities and the budget is prepared accordingly.
- Application is made simultaneously with the international joint program.
- There is no budget limit.
- The funding volume is 75% for SME's and 60% for large companies. The projects duration can be maximum the international project's duration.

# **United Kingdom - BBSRC**



Participating organisation: Biotechnology and Biological Sciences Research Council - BBSRC

Indicative Budget: up to £2M

National Contact Person(s): Dr Anja Berndt

E-mail: <a href="mailto:cobiotech@bbsrc.ac.uk">cobiotech@bbsrc.ac.uk</a>
Telephone: +44 (0)179 341 3251

#### Name of & link to the funding programme(s) and other relevant information:

BBSRC supports the ERA-Net CoBioTech call for proposals and encourages its community to apply for funding for collaborative research in the European Research Area through this call. Subject to scientific excellence, and all conditions of eligibility and peer review being fully met, BBSRC anticipates a contribution of up to £2M to the call.



All UK components submitted to this call will be subject to standard BBSRC funding criteria. The following guidance should be read in conjunction with the BBSRC Grants Guide <a href="http://www.bbsrc.ac.uk/funding/apply/grants\_guide.html">http://www.bbsrc.ac.uk/funding/apply/grants\_guide.html</a>

Applicants are asked to contact the BBSRC National Call Secretariat before starting to prepare proposals for submission (contact details above).

Min. project duration:

Max. project duration: 36 months

Maximum funding per project: -

#### Institutional eligibility criteria:

Standard BBSRC eligibility criteria, as described in the BBSRC Grants Guide, will be applied to the UK component of applications submitted to this call. For detailed guidance, see Section 3 of the BBSRC Grants Guide, <a href="http://www.bbsrc.ac.uk/documents/grants-guide/">http://www.bbsrc.ac.uk/documents/grants-guide/</a>. Applications must be submitted by UK Research Organisations that are eligible to receive funding from BBSRC. Information about eligible organisations is available at <a href="http://www.rcuk.ac.uk/funding/eligibilityforrcs/">http://www.rcuk.ac.uk/funding/eligibilityforrcs/</a>.

BBSRC will fund the UK partner of any successful transnational collaboration. Applicants should note that the New Investigator scheme does not operate in this call.

All enquiries about eligibility should be directed to eligibility@bbsrc.ac.uk

#### Institutional thematic priorities:

Research covering the development of generic methods for the production of high value chemicals such as pharmaceutical precursor molecules, antibiotics and recombinant biologics are within the scope of the call. If applicants are in any doubt, please contact the National Call Secretariat for further clarification. Proposals should be for a maximum of three years duration.

#### Proposals with the following focus cannot be funded:

Under this call, research on food, food ingredients, animal feed and work of direct medical relevance is excluded.

#### Additional information:

The UK academic component of applications must fall within the remit of BBSRC, which supports research into plants, microbes, animals and tools and technology underpinning biological research. While we expect projects to be multidisciplinary, the primary focus and challenges of the UK component of the project must therefore be biological rather than medical, social, environmental or on physical or chemical engineering. For more information on the research areas that BBSRC funds see: <a href="http://www.bbsrc.ac.uk/research/science-remit.aspx">http://www.bbsrc.ac.uk/research/science-remit.aspx</a>. If you are unsure if your proposal is within the remit of BBSRC, please contact the National Call Secretariat.

BBSRC strongly encourages industrial participation in projects under this scheme. BBSRC is not able to fund industrial partners, and any costs incurred, direct or otherwise, by industrial partners cannot be met by BBSRC. UK industrial partners can be funded by Innovate UK (see Innovate UK guidelines). For further information about collaborative partnerships, see Section 2 of the BBSRC Grants Guide. The IPA and LINK schemes do not operate in this call.

Costs incurred by the UK academic partner as a direct result of working with the other consortium partners (such as visits to labs or exchange of materials) can be requested. Studentships will not be supported by BBSRC as part of this call and students should not be included on the UK component.

The UK component of applications should be costed on the basis of full economic costing (fEC) as described in the BBSRC Grants Guide. If the grant is awarded, BBSRC will provide funding on the basis of 80% of fEC. Applicants must clearly justify all the requested resources. The submitting organisations must agree to find the balance of fEC for the project from other resources. Final awards from BBSRC will be made in British Pounds (GBP); costs listed in the ERA CoBioTech application forms must be converted into Euros (EUR) using the exchange rate at the time of submission. UK applicants invited to prepare a full proposal will also be asked to complete a BBSRC proforma to ensure their proposal complies with the requirements of full economic costs.



For the UK component of successful proposals submitted this call, applicants will be required to submit an additional form through the Je-S system. Additional guidance will be provided to successful applicants. Grants will be subject to standard Research Council Grants Terms and Conditions (http://www.rcuk.ac.uk/documents/documents/TermsandConditionsofResearchCouncilfECGrants-pdf/)

Applicants requesting items of equipment costing over £10k should note that additional justification will be required when submitting the proposal through Je-S and that the Reseach Organisation will be expected to make a contribution to the cost of the equipment. Further information is available at <a href="http://www.rcuk.ac.uk/documents/publications/equipment-quidance-pdf/">http://www.rcuk.ac.uk/documents/publications/equipment-quidance-pdf/</a>.

#### **National Call Secretariat**

Funding organisation: Biotechnology and Biological Sciences Research Council - BBSRC Postal address: Polaris House, North Star Avenue, Swindon, Wiltshire SN2 1UH, UK

Email: cobiotech@bbsrc.ac.uk

Dr Anja Berndt



# United Kingdom –Innovate UK



Participating organisation: Innovate UK

Indicative Budget: up to £2M

National Contact Person(s): Dr Chris A. Jones

E-mail: chris.jones@innovateuk.gov.uk

Amy Oswin – Process & Eligibility

E-mail: Amy.Oswin@innovateuk.gov.uk or support@innovateuk.gov.uk

Telephone: +44 (o) 300 321 435

### Name of & link to the funding programme(s) and other relevant information:

Innovate UK supports the ERA-Net CoBioTech call for proposals and encourages its community to apply for funding for collaborative research in the European Research Area through this call. Subject to scientific excellence, and all conditions of eligibility and peer review being fully met, Innovate UK anticipates a contribution of up to £2M to the call.

All UK components submitted to this call will be subject to standard Innovate UK funding criteria. The following guidance should be read in conjunction with the Innovate UK **General guidance for applicants:** 

https://www.gov.uk/guidance/innovate-uk-funding-general-guidance-for-applicants#funding-rules

Applicants are asked to contact the Innovate UK National Contact Person before starting to prepare proposals for submission (contact details above).

Min. project duration: -

Max. project duration: 36 months

Maximum funding per project: -

## Eligibility criteria:

Standard Innovate UK eligibility criteria, as described in the Innovate UK **General guidance for applicants**, will be applied to the UK component of applications submitted to this call. Applications must be submitted by UK Organisations that are eligible to receive funding from Innovate UK. Proposals should be for industrial / applied research or experimental development. Eligible organisations supported by Innovate UK

- Large enterprises up to 50% grant funding
- Medium-sized SME up to 60% grant funding
- Micro/Small-sized SME up to 70% grant funding

Industrially focused Research Technology Organisations are eligible to apply but only in combination with a UK business. Innovate UK does not support academic fundamental research, this is covered by other national programmes.

All enquiries about eligibility should be directed to: <a href="mailto:Amy.Oswin@innovateuk.gov.uk">Amy.Oswin@innovateuk.gov.uk</a> or support@innovateuk.gov.uk

#### Institutional thematic priorities:



Proposals covering the development of generic methods for the production of high value chemicals such as pharmaceutical precursor molecules, antibiotics and recombinant biologics are within the scope of the call. If applicants are in any doubt, please contact the National Call Secretariat for further clarification. Proposals should be for a maximum of three years duration.

### Proposals with the following focus cannot be funded:

Under this call, research on food, food ingredients, animal feed and work of direct medical relevance is excluded.

#### Additional information:

- UK universites will be funnded by BBSRC RTOs may come in as a business but must have another UK business in the UK collaboration. RTOs satisfying this criteria can lead with prior agreement from Innovate UK.
- Industrially focussed Research Technology Organisations are eligible for no more than 30% of the UK total project costs.
- All UK participants must be separate legal entities. Companies must have been trading
  for at least 12 months prior to preproposal stage close date, VAT registered and provide
  evidence they have the resources and finances to undertake the project.
- Projects led by a UK company must be managed by the lead partner, project management cannot be subcontracted.
- Companies with fewer than 5 Full Time staff cannot lead a project, unless agreed prior to application with Innovate UK.
- Subcontracting is limited to 20% of the UK partner grant.
- There is a 75% max cap on country participation.
- There is a 75% max cap on partner participation.
- Applications will be reviewed to identify if there are any obvious reasons for exclusion on the basis of national track record, such as the participant having already received funding for the same or a very similar activity